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NOTICE OF ALLOWANCE AND FEE(S) DUE

26574

7590

09/17/2009

SCHIFF HARDIN, LLP PATENT DEPARTMENT 233 S. Wacker Drive-Suite 6600 CHICAGO, IL 60606-6473 EXAMINER

GERGISO, TECHANE

ART UNIT PAPER NUMBER

2437

DATE MAILED: 09/17/2009

APPLICATION	NO. FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/562,77	04/10/2006	Dieter Jorgens	P05,0424	7977

TITLE OF INVENTION: METHOD AND DEVICE FOR PRINTING SENSITIVE DATA

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	12/17/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 26574 7590 09/17/2009 Certificate of Mailing or Transmission SCHIFF HARDIN, LLP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. PATENT DEPARTMENT 233 S. Wacker Drive-Suite 6600 CHICAGO, IL 60606-6473 (Depositor's name (Signature (Date APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 10/562,775 04/10/2006 Dieter Jorgens P05,0424 7977 TITLE OF INVENTION: METHOD AND DEVICE FOR PRINTING SENSITIVE DATA APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$300 \$0 \$1810 12/17/2009 **EXAMINER** ART UNIT CLASS-SUBCLASS GERGISO, TECHANE 2437 380-055000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this fo Advance Order - # of Copies _ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/562,775	04/10/2006	Dieter Jorgens	P05,0424	7977
26574 75	590 09/17/2009		EXAM	INER
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PATENT DEPARTMENT			ART UNIT	PAPER NUMBER
233 S. Wacker Drive-Suite 6600 CHICAGO, IL 60606-6473			2437 DATE MAILED: 09/17/200	9

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 479 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 479 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)		
	10/562,775	JORGENS ET AL.		
Notice of Allowability	Examiner	Art Unit		
	TECHANE J. GERGISO	2437		
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313 1. ☒ This communication is responsive to September 01, 2009.	(OR REMAINS) CLOSED in this ap or other appropriate communication GHTS. This application is subject t	plication. If not included n will be mailed in due course. THIS		
2. ☑ The allowed claim(s) is/are <u>51-63</u> .				
3. Acknowledgment is made of a claim for foreign priority una a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" on the priority documents have Applicant has THREE MONTHS FROM THE "MAILING DATE" on the priority documents have	been received. been received in Application No cuments have been received in this of this communication to file a reply	national stage application from the		
 THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. 5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) ☐ hereto or 2) ☐ to Paper No./Mail Date (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date PTO-37. Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. 				
Attachment(s) 1. ☑ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	5. ☐ Notice of Informal F 6. ☐ Interview Summary Paper No./Mail Da 7. ☒ Examiner's Amend 8. ☒ Examiner's Statem 9. ☐ Other	r (PTO-413), te		

DETAILED ACTION

1. This is a notice of allowance in response to the applicant's communication filed on September 01, 2009.

Drawings

2. Subject to the conditions and requirements of this paragraph, if all or a portion of the specification or drawing(s) is inadvertently omitted from an application, but the application contains a claim under § 1.55 for priority of a prior-filed foreign application, or a claim under § 1.78 for the benefit of a prior-filed provisional, nonprovisional, or international application, that was present on the filing date of the application, and the inadvertently omitted portion of the specification or drawing(s) is completely contained in the prior-filed application, the claim under § 1.55 or § 1.78 shall also be considered an incorporation by reference of the prior-filed application as to the inadvertently omitted portion of the specification or drawing(s).

The drawings filed on December 29, 2005 as a replacement sheet contains figures 1 and 2 inadvertently missing figures 3-6 as outlined in the specification and also filed together in the Certified Copy of Foreign Priority application on December 29, 2005. Corrected drawing must be submitted under 37 CFR 1.57.

EXAMINER'S AMENDMENT

3. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR

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1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the

payment of the issue fee.

4. The applicant's representative Brett A. Valiquet (Reg. No.: 27,841) authorized the

following examiner's amendment on September 08, 2009.

The application has been amended as follows:

Amendments to the claims:

1-50. (cancelled)

51. (new) A method for printing of sensitive data, comprising the steps of:

at a workstation encrypting the sensitive data to be printed;

transferring the encrypted sensitive data to be printed along with non-sensitive data to be

printed to a printing device having a printing unit;

decrypting the sensitive data to be printed to create decrypted sensitive data in an

immediate temporal succession execution with converting the decrypted sensitive data to be

printed into control signals for activation of the printing unit via rastering of the data into one or

more raster images representing the control signals;

storing the decrypted sensitive data in a non-volatile memory such that the decrypted

sensitive data are distributed in a plurality of memory segments of the non-volatile memory, and

storing a relationship of the memory segments in said non-volatile memory in a volatile memory

as relationship data independently of the stored decrypted sensitive data so that without said

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relationship data, the decrypted sensitive data stored in said memory segments is not readable; and

printing the non-sensitive data, and also printing the decrypted sensitive data based on the relationship data, with the printing unit on a recording medium.

- 52. (new) The method according to claim 51 wherein the decryption and the conversion into control signals is executed in a controller for activation of a character generator.
- 53. (new) The method according to claim 51 wherein the print data to be printed are transferred to the printing device in the form of a print data stream, the print data stream being converted into an intermediate language in the printing device, and the print data being converted into the control signals.
- 54. (new) The method according to claim 51 wherein the sensitive data and the non-sensitive data are connected into one data unit before transfer to the printing device.
- 55. (new) The method according to claim 51 wherein the sensitive data are identified in the data unit via markings.
- 56. (new) The method according to claim 54 wherein a layout that comprises regions to receive sensitive data is generated using the non-sensitive data.

- 57. (new) The method according to claim 54 wherein the sensitive data are already encrypted before combination with the non-sensitive data into said one data unit.
- 58. (new) The method according to claim 51 wherein the sensitive data are encrypted after combination with the non-sensitive data into said one data unit.
- 59. (new) A system for printing sensitive data which have been encrypted, comprising:

a printing device having a printing unit connected to a controller, said controller receiving said encrypted sensitive data along with non-sensitive data;

said controller comprising a decryption module, a non-volatile memory, a relationship data volatile memory, and a converter which converts decrypted sensitive data from said decryption module in immediate temporal succession execution into control signals for activation of said printing unit, said control signals activating the printing unit via rastering of the data into one or more raster images reprinting the control signals; and

said controller storing the decrypted sensitive data in said non-volatile memory such that the decrypted sensitive data are distributed in a plurality of memory segments of the non-volatile memory, and storing a relationship of the memory segments in said non-volatile memory in said relationship data volatile memory as relationship data independently of the stored decrypted sensitive data so that without said relationship data, the decrypted sensitive data stored in said memory segments is not readable.

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60. (new) The system according to claim 59 wherein the printing unit comprises a

character generator.

61. (new) The system according to claim 59 wherein the controller comprises at least

one raster module as said converter.

62. (new) The system according to claim 59 wherein the controller comprises a

combined decryption and raster module.

63. (new) The system according to claim 59 wherein a sensor for detection of

recording media with predetermined security features is arranged on a transport path for

recording media in a region before the printing unit such that the printing of the sensitive

data can be stopped given detection of recording media without security features.

Reason for allowance

5. After consideration of the applicant's remark filed on September 01, 2009 in response to

the Office Action mailed on June 15, 2009 and through examination of claims 51-63 with respect

to the prior arts in record and further search, the claims have been found in condition for

allowance.

6. The following is an examiner's statement of reasons for allowance:

Claims 51 and 59 include the following features of a method and a system for printing of sensitive data which are not taught or further suggested and would not have been obvious over prior arts of record and these claimed features are: Decrypting the sensitive data to be printed to create decrypted sensitive data in an immediate temporal succession execution with converting the decrypted sensitive data to be printed into control signals for activation of the printing unit via rastering of the data into one or more raster images representing the control signals; storing the decrypted sensitive data and distributing them in a plurality of memory segments of the non-volatile memory, and storing a relationship of the plurality of memory segments in said non-volatile memory in a volatile memory as relationship data independently of the stored decrypted sensitive data so that without said relationship data, the decrypted sensitive data stored in said memory segments is not readable.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See the notice of reference cited in form PTO-892 for additional prior art.

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Contact Information

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Any inquiry concerning this communication or earlier communications from the 8.

examiner should be directed to Techane J. Gergiso whose telephone number is (571) 272-3784

and fax number is (571) 273-3784. The examiner can normally be reached on 9:00am - 6:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor,

Emmanuel Moise can be reached on (571) 272-3865. The fax phone number for the organization

where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Techane J. Gergiso/

Examiner, Art Unit 2437

/Emmanuel L. Moise/

Supervisory Patent Examiner, Art Unit 2437